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Dedicated to Professor Alexandru Şubă on the occasion of his 70<sup>th</sup> birthday

# **Generalized Hausdorff compactifications**

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**Abstract.** This article investigates some properties of generalized Hausdorff compactifications of topological  $T_0$ -spaces. In particular, it is show that the totality of these compactifications forms a lattice of g-extensions in which there is the maximum element. **2010 Mathematics Subject Classification:** 54D30, 54D40.

**Keywords:** continuous application, extension, *g*-extension, compactification, lattice, space.

## Compactificări generalizate Hausdorff

**Rezumat.** În acest articol se studiază unele proprietăți ale compactificărilor generalizate Hausdorff ale  $T_0$ -spațiilor topologice. În particular, se demonstrează că totalitatea compactificărilor formează o latice de g-extensii în care există elementul maximal.

Cuvinte-cheie: aplicație continuă, extensie, g-extensie, compactificare, latice, spațiu.

#### 1. Extensions

Let us mention, that in case there are no concrete indications, then the topological space is considered  $T_0$ -space.

**Definition 1.1.** A pair (Y, f) it is called a generalized extension or g-extension of space X, where Y is a space,  $f: X \to Y$  is a continuous mapping and the set f(X) is dense in Y. If f is an embadding of space X in Y, i.e. an omeomorphism of space X on subspace f(X) of Y, then the pair (Y, f) is called an extension of space X.

If (Y, f) is an extension of space X, then, as a rule, the point  $x \in X$  is identified with  $f(x) \in Y$  and it is considered to be  $X \subseteq Y$ . In this case f(x) = x for any  $x \in X$ .

Let GE(X) be the set of all g-extensions of the space X and E(X) be the set of all extensions of X. Obviously,  $E(X) \subseteq GE(X)$ .

In class GE(X) the binary increased relationship is introduced. If (Y, f) and (Z, g) are two g-extensions of X space, then it is considered  $(Z, g) \leq (Y, f)$ . If there is a continuous mapping  $\varphi: Y \to Z$ , for which  $g(x) = \varphi(f(x))$  for any  $x \in X$ , i.e.  $g = \varphi \circ f$  and Diagram 1 is commutative.



Figure 1. Diagrams 1 and 2.

If  $(Y, f) \le (Z, g)$  and  $(Z, g) \le (Y, f)$ , then these g-extensions (Y, f) and (Z, g) are called *equivalent* and we denote this by  $(Y, f) \sim (Z, g)$ .

**Proposition 1.1.** If (Y, f) and (Z, g) are two g-extensions of space X,  $(Z, g) \le (Y, f)$  and  $(Z, g) \in E(X)$ , then  $(Y, f) \in E(X)$ .

*Proof.* Let  $\varphi: Y \to Z$  be a continuous mapping and  $g = \varphi \circ f$ . According to the definition of relationship  $\leq$ , g is a dive. Let us denote  $h = \varphi \mid f(X) : f(X) \to g(X)$ . Then we get Diagram 2. As g is a bijection and f, h are surjections, it turns out that f and h are bijections. We have  $f(A) = h^{-1}(g(A))$ . Therefore, for any open set G of G the set G obviously, G is a homomorphism. Proposition 1.1 is proved.

**Corollary 1.1.** If (Y, f) and (Z, g) are two g-extensions equivalent of space X and one of them is extension, then the other one is extension.

The pair (X, f), where f(x) = x for any  $x \in X$  is an extension of space X. This is the trivial extension or maximum extension. Let us denote this extension by  $(X, e_X)$ .

Let S be a space consisting of a single point and let  $s_X(x) = S$  for any  $x \in X$ . Then  $(S, s_X)$  is called g-extension minimal or g-zero extension of space X.

Let P be a property of topological spaces. The property P is called *multiplicative* if the product of a set of spaces with the property P is a space with the property P.

The property P is called *hereditary closed* if any closed subspace of a space with the property P is a space with the property P.

Property P is called *additive* if the reunion space of a finite number of subspaces with the property P is a space with the property P.

**Example 1.1.** The property of being compact space is multiplicative, hereditary closed and additive.

**Example 1.2.** The property of being countable compact space is hereditary, additive but not multiplicative. The product of two countable compact spaces can not be a countable compact space ([4], Example 3.10.19).

**Example 1.3.** The property of being pseudocompact is additive, but it is neither multiplicative and not hereditary closed [4].

**Example 1.4.** The property of being space is multiplicative, hereditary and additive. This property is called trivial property.

#### 2. Lattice of extensions

Let us fix a property P of topological spaces. We denote by PGE(X) the totality of g-extensions (Y, f) with the property P, i.e. Y possesses the property P and denote  $Y \in P$ .

Let  $PE(X) = E(X) \cap PGE(X)$ . If P is a trivial property, then PE(X) = E(X) and PGE(X) = GE(X).

**Definition 2.1.** *If* L *is a nonempty set of* PGE(X) *and*  $(Y, f) \in PGE(X)$ *, then:* 

- (1) the extension (Y, f) is called the upper bound of a set L in PGE(X) and denote  $(Y, f) \in \forall L$ , if  $(Z, g) \leq (Y, f)$  for any  $(Z, g) \in L$ . If  $(Y_1, f_1) \in PGE(X)$  and  $(Z, g) \leq (Y, f)$  for any  $(Z, g) \in L$ , then  $(Y, f) \leq (Y_1, f_1)$ ;
- (2) the extension (Y, f) is called the lower bound of a set L in PGE(X) and denote  $(Y, f) \in \land L$ , if  $(Y, f) \leq (Z, g)$  for anything  $(Z, g) \in L$ . If  $(Y_1, f_1) \in PGE(X)$  and  $(Y, f) \leq (Z, g)$  for any  $(Z, g) \in L$ , then  $(Y, f) \leq (Y_1, f_1)$ .

**Proposition 2.1.** Let P be a multiplicative and hereditary closed property. Then for any nonempty set  $L \subseteq PGE(X)$  there are extensions  $(Y, f) \in \lor L$ .

*Proof.* Let  $L = \{(Y_{\mu}, f_{\mu}) : \mu \in M\}$ ,  $f(x) = (f_{\mu}(x) : \mu \in M) \in \prod \{Y_{\mu} : \mu \in M\}$  for any  $x \in X$  and let Y be the adherence of a set f(x) in  $\prod \{Y_{\mu} : \mu \in M\}$ . Then  $(Y, f) \in \vee L$ . Proposition 2.1 is proved.

## **Definition 2.2.** The set $L \subseteq PGE(X)$ is called:

- (1) the upper semilattice of extensions, if L is nonempty and for any nonempty subset  $M \subseteq L$  there exists  $(Y, f) \in \forall M$ .
- (2) the lower semilattice of extensions, if L is nonempty and for any nonempty subset  $M \subseteq L$  there exists  $(Y, f) \in \land M$ ;
- (3) the lattice of extensions, if it is an upper semilattice and a lower semilattice of extensions.

**Proposition 2.2.** Let P be a multiplicative and closed hereditary property. Then for any nonempty set  $H \subseteq PGE(X)$  there exists an upper semilattice of extensions  $L^*(H)$  with properties:

- (1)  $H \subseteq L^*(H)$ ;
- (2) if L is an upper semilattice of extensions and if  $H \subseteq L \subseteq L^*(H)$ , then  $L = L^*(H)$ .

*Proof.* Let us fix  $(Y_M, f_M) \in \vee M$  for any nonempty subset  $M \subseteq H$ . If  $M = \{(Y, f)\}$ , then  $Y_M = Y$  and  $f_M = f$ . They can be obtained by constructing  $(Y_M, f_M)$  as in the proof of Proposition 2.1.

Let us denote  $L^*(H) = \{(Y_M, f_M) : M \subseteq H, M \neq \emptyset\}$ . Obviously,  $H \subseteq L^*(H)$ . If  $M \subseteq K \subseteq H$ , then  $(Y_M, f_M) \leq (Y_K, f_K)$ . According to construction  $L^*(H)$  is an upper semilattice. If  $K = \{(Y_{M_\alpha}, f_{M_\alpha}) : \alpha \in A\}$  and  $M = \bigcup \{M_\alpha : \alpha \in A\}$ , then  $(Y_M, f_M) \in \bigvee K$ . The proof is complete.  $\square$ 

**Definition 2.3.** The upper semilattice  $L^*(H)$  built in the proof of Proposition 2.2 is called the upper semilattice generated by set H.

**Corollary 2.1.** Let P be a multiplicative and closed hereditary property. Suppose that the continuous image of a space with property P is a space with property P. Then any nonempty set  $H \subseteq PGE(X)$  is contained in a lattice of extensions of PGE(X).

*Proof.* Let  $(Z_0, g_0)$  be the extension, where  $Z_0$  is a space consisting of a single point, and let  $g_0: X \to Z_0$  be the only possible application. It is clear that  $(Z_0, g_0) \le (Y, f)$  for any  $(Y, f) \in GE(X)$ . Let us denote  $L(H) = L^*(H \cup \{(Z_0, g_0)\})$ . Obviously, L(H) is an upper semilattice. As the upper lattice L(H) contains an element of  $\wedge L(H)$ , it is a lattice. But  $(Z_0, g_0) \in \wedge L(H)$ . The proof is complete.

**Definition 2.4.** A g-extension (Y, f) of the space X is called correct, if the family  $\{cl_Y f(A) : A \subseteq X\}$  forms a closed base of the space Y.

Let us denote by KGE(X) the totality of correct g-extensions of the space X and let  $KE(X) = E(X) \cap KGE(X)$ .

**Proposition 2.3.** If (Y, f), (Z, g) are two correct and equivalent g-compactifications of the space X, then (Y, f) = (Z, g), i.e. the continuous application  $\varphi : Y \to Z$  for any  $g = \varphi \circ f$  is a homeomorphism of the space Y onto the space Z.

*Proof.* Let  $\varphi: Y \to Z$  and  $\psi: Z \to Y$  be two continuous applications, for which  $g = \varphi \circ f$  and  $f = \psi \circ g$ . If  $A \subseteq X$ , then  $\varphi(cl_Y f(A)) \subseteq cl_Z g(A)$  and  $\psi(cl_Z g(A)) \subseteq (cl_Y f(A))$ . Hence,  $\varphi(cl_Y f(A)) = cl_Z g(A)$  and  $\psi(cl_Z g(A)) = cl_Y f(A)$ . From these two equalities

we conclude that  $\varphi, \psi$  are reciprocal bijective applications and  $\varphi^{-1} = \psi$ . Proposition 2.3 is proved.

#### 3. Compacts

For topological spaces the notion of compact space was introduced by P.S. Alexandroff and P.S. Urysohn (see [1]).

**Definition 3.1.** *The class P of topological spaces is called strict compactness if it satisfies the conditions:* 

- (C1) class P is not empty;
- (C2) in P there is a space X containing at least two different points;
- (C3) class P is multiplicative;
- (C4) class P is closed hereditary;
- (C5) if Y is a dense subspace of the space  $X \in P$ , then  $\{cl_X A : A \subseteq Y\}$  is a closed basis of the space X.

**Definition 3.2.** The class P of spaces with properties (C1)–(C4) is called quasi-compactness.

**Definition 3.3.** A quasi-compactness P of Hausdorff spaces is called compactness.

**Proposition 3.1.** *If P is a strict compactness, then:* 

- (1) PGE(X) = KPGE(X) for any space X;
- (2) PGE(X) is a set for any space X;
- (3) PGE(X) is a lattice of extensions for any space X.

*Proof.* Equality (1) is a consequence of condition (C5) in Definition 3.1. It follows from Proposition 2.3 that PGE(X) is a set. Since  $L^*PGE(X) = L(PGE(X)) = PGE(X)$ , from Corollary 2.1 we obtain that PGE(X) is a lattice of extensions. The proof is complete.

**Proposition 3.2.** If P is a compactness, then PGE(X) is a lattice of extensions for any space X.

*Proof.* If (Y, f) is a g-extension of the space X, Y is a Hausdorff space, and  $\tau$  is the power of the set X, then the weight  $\omega(Y) \leq 2^{\tau}$ . Therefore, PGE(X) is a set. Then, based on Corollary 2.1, we obtain that  $L^*(PGE(X)) = L(PGE(X)) = PGE(X)$  is a lattice of extensions. The proof is complete.

**Corollary 3.1.** Let P be a compactness or a strict compactness, X be a space and suppose that  $PE(X) \neq \emptyset$ . Then PE(X) is a upper semilattice of extensions.

### **Corollary 3.2.** *Let P be a compactness or a strict compactness. Then:*

- (1) for any space X a unique maximal g-extension  $(\beta_P X, \beta_X) \in PGE(X)$  is determined;
- (2) for any continuous mapping  $\varphi: X \to Y$  there is a unique continuous mapping  $\beta_{P\varphi}: \beta_P X \to \beta_P Y$ , for which  $\beta_Y \circ \varphi = \beta_{P\varphi} \circ \beta_X$ , i.e. Diagram 3 is commutative.

*Proof.* The statement (1) follows from Propositions 3.1 and 3.2. If  $\varphi: X \to Y$  is continuous mapping and  $(Z, g) \in PGE(X)$ , then  $(Z, g \circ \varphi) \in PGE(X)$ . This fact proves the presence of  $\beta_{P\varphi}$ . The proof is complete.

## 4. Generalized Hausdorff Compactifications

Let us denote by HGC(X) the totality of g-compactifications  $(bX, b_X)$  of the space X for which bX is a Hausdorff space.

**Theorem 4.1.** The totality of HGC(X) is a complete lattice of g-extensions.

*Proof.* We will prove this theorem after the following steps:

- (1) Let us note that the totality of HGC(X) is not empty, since it contains the minimal extension  $(mX, m_X)$  of a point.
- (2) If Y is a Hausdorff space, then for the power (cardinality) of the set Y we have  $|Y| \le \exp(\exp d(Y))$ , where d(Y) is the density of the space Y (see [4], Theorem 1.5.3). If  $(Y, f) \in GE(X)$ , then  $d(Y) \le |X|$ . Hence,  $|Y| \le \exp(\exp|X|)$  for any Hausdorff g-compactification  $(Y, f) \in HGC(X)$ . But all topological spaces of power  $\le \exp(\exp|X|)$  form a set, which contains the entirety of HGC(X). So the totality of HGC(X) is a set.

(4) The property H to be compact and Hausdorff space is multiplicative and hereditary over closed subspaces. Applying Proposition 3.2 we obtain that HGC(X) is a complete lattice. Theorem 4.1 is proved.

**Definition 4.1.** The maximal element of the lattice HGC(X) is denoted by  $(\beta X, \beta_X)$  and is called the Stone-Čech g-compactification.

**Corollary 4.1.** If  $f: X \to Y$  is a continuous mapping, then there is a unique continuous mapping  $\beta f: \beta X \to \beta Y$  for which  $\beta f \circ \beta_X = \beta_Y \circ f$ , i.e. Diagram 4 is commutative.

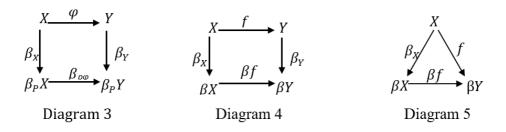


Figure 2. Diagrams 3, 4 and 5.

**Corollary 4.2.** If  $f: X \to Y$  is a continuous application of X space in the Hausdorff and compact space Y, then there is a unique continuous mapping  $\beta f: \beta X \to Y$  for which  $f = \beta f \circ \beta_X$ , i.e. Diagram 5 is commutative.

**Corollary 4.3.** (See [4], Chapter 3). Let  $HC(X) = E(X) \cap HGC(X)$  be the set of Hausdorff compactifications of the space X. Then:

- (1) if  $HC(X) \neq \emptyset$ , then HC(X) is a complete upper semilattice with maximal element  $\beta X$ ;
- (2) the following statements are equivalent:
- (2.1)  $HC(X) \neq \emptyset$ ;
- (2.2) X is a  $T_0$ -completely regular space;
- (2.3)  $\beta X$  is an extension of the space X.

**Theorem 4.2.** (see [4], for  $T_1$  spaces). For any continuous application  $f: X \to Y$  in a compact Hausdorff space Y there is a unique continuous application  $\omega f: \omega X \to Y$  for which  $f = \omega X \mid X$ . The mapping  $\omega f$  is always perfect.

*Proof.* Denote  $\varphi(x) = f(x)$  for any  $x \in X$  and let  $\varphi(\xi) = \bigcap \{cl_Y f(H) : H \in \xi\}$  for any ultrafilter  $\xi \in \omega X \setminus X$ . Let  $y, z \in cl_Y f(X)$  be two different points. There are two open sets

U and V in Y for which  $x \in U$ ,  $y \in V$ , and the sets  $F = cl_Y U$ ,  $\Phi = cl_Y V$  do not intersect. Then  $cl_{\omega X}f^{-1}(F) \cap cl_{\omega X}f^{-1}(\Phi) = \emptyset$ . If  $X \setminus f^{-1}(U) \in \mathcal{E}$ , then  $y \notin \varphi(\mathcal{E})$ . If  $X \setminus V \in \mathcal{E}$ , then  $z \notin \varphi(\mathcal{E})$ . But  $\mathcal{E} \cap \{X \setminus f^{-1}(U), X \setminus f^{-1}(V)\} = \emptyset$ . So the mapping  $\varphi \colon \omega X \to Y$  is unique and  $f = \varphi \mid X$ . The set  $Z = \{cl_Y A : A \subseteq f(x)\}$  forms a closed basis of the space  $Z = cl_Y f(X)$ . If A is closed in f(X) and  $y \in cl_Y A$ , then there exists an ultrafilter  $\eta$  of closed sets in f(X) for which  $\{y\} = \cap \{cl_Y H : H \in \eta\}$ . There exists at least one ultrafilter  $\mathcal{E} \in \omega X$  for which  $f^{-1}(\eta) \subseteq \mathcal{E}$ . Then  $\varphi(\mathcal{E}) = y$ . Therefore,  $\varphi^{-1}(A) = cl_{\omega X} f^{-1}(A)$  is a closed set in  $\omega X$ . So,  $\varphi$  is a continuous mapping. From the construction and continuity of the mapping  $\varphi$  we obtain its uniqueness. If the set F is closed in  $\omega X$ , then  $\varphi(F)$  is a compact set. The compact set in a Hausdorff space is closed. So,  $\varphi$  is a closed mapping. Theorem 4.2 is proved.

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